

8(a) Application Preview

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NOTE: This is a sample 8(a) application example for business type Corporation

8(a) Initial Application

Eligibility

General Assessment

Eligibility

General Assessment

Prior 8(a) Involvement

Outside Assistance

Business Size

Review

General Assessment

Is your company a for-profit business?

Yes No

More Information —

Your company must be a for-profit business to qualify for the 8(a) Program. If your company is not a for-profit business, it is not eligible for program participation.

Is your company operating as a broker?

Yes No

More Information —

Brokers are not eligible for participation in the 8(a) Program. If your company does add material value, take ownership or possession of, or handle the item being procured with its own equipment or facilities, your company may be operating as a broker. Please note that SBA's definition of 'broker' does not include real estate or investment brokers. Reference: 13 CFR §124.108(d)

Has your company ever generated revenue?

Yes No N/A - Entity Owned

Does your company have a Doing Business As (DBA) name?

Yes No

More Information —

A doing business as name may also be referred to as an assumed name, fictitious name, or trade name.

Save and continue



Prior 8(a) Involvement

Eligibility

General Assessment ✔

Prior 8(a) Involvement

Outside Assistance

Business Size

Review

Prior 8(a) Involvement

Has your company ever participated in the 8(a) Program?

Yes No

More Information

The 8(a) Program has one-time eligibility. This includes participation under another name, voluntary withdrawal, termination, or graduation.

Has your company ever submitted an application to the 8(a) Program?

Yes No

More Information

If yes, describe what happened to the previous application to the 8(a) Program. Possibilities include that the application was returned because it was incomplete, your company withdrew the application prior to a formal decision, your application was closed, or your company may have been formally denied program participation. If your company received a formal decline decision, it must wait 90 days from the date of the denial letter to apply again. Please respond 'yes' if your company has ever submitted an application under another name. If your company was formally denied program participation, please provide a copy of the decline letter.

Do the assets of a former 8(a) company make up 50% or more of your company's assets?

Yes No

More Information

If yes, please provide an explanation above and upload all relevant documentation. The documents should contain the name of the 8(a) Program Participant. Assets may include machinery, contracts, equipment, etc. At the time of application, if the assets of a former 8(a) Program Participant constitute 50% or more of applicant firm's assets, then your business will not be eligible for participation in the program because of one-time eligibility.

[Save and continue](#)



Outside Assistance

Eligibility

General Assessment



Prior 8(a) Involvement



Outside Assistance

Business Size

Review

Outside Assistance

Did you hire a consultant to help with your 8(a) application?

Yes No

More Information

If yes, upload a signed [Representatives and Fees form](#) and consulting agreement. Outside consultants may include attorneys, accountants, appraisers, agents, or other representatives who assisted in preparing your application.

Save and continue

Business Size

Eligibility

General Assessment



Prior 8(a) Involvement



Outside Assistance



Business Size

Review

Business Size

Does your company qualify as a small business?

Yes No

More Information

Your company must be small according to SBA size standards to participate in the 8(a) Program. Refer to the table of size standards in the [Small Business Size Regulations, 13 CFR § 121.201](#)

Has your company or any of its affiliates ever received a formal SBA size determination?

Yes No

More Information

A formal SBA size determination is not required for program participation but must be provided if received in the past.

Save and continue



Ownership

Firm Ownership

Ownership

Firm Ownership

Ownership Details

Corporations

LLCs

Partnerships

Sole Proprietors

Review

Firm Ownership

Please detail the ownership of your company:

Type of Owner	Name	U.S. Citizen	Position in Company	Basis for Disadvantage	Claiming Disadvantage?	Ownership %	Action
No items added yet							
Total						0%	

[Add Entry](#)

[+ Add documents](#)

More Information

A company is considered entity-owned if it is 51% owned by an American Indian Tribe, Alaska Native Corporation, Community Development Corporation, or Native Hawaiian Organization. If your company is entity-owned, please complete and upload the relevant form 1010 from the following list:

- [American Indian Tribe \(AIT\) form](#)
- [Alaska Native Corporation \(ANC\) form](#)
- [Community Development Corporation \(CDC\) form](#)
- [Native Hawaiian Organization \(NHO\)](#)

[Save and continue](#)

Ownership Details

Ownership

Firm Ownership



Ownership Details

Corporations

LLCs

Partnerships

Sole Proprietors

Review

Ownership Details

How did you become an owner of your company?

- Started the company
- Bought the company
- Received the company as a gift, inheritance, or transfer
- Acquired the company through merger
- Other

Has your company's ownership, legal structure, or name changed in the past two years?

- Yes
- No

More Information



If yes, explain the changes and identify all prior owners, ownership percentage, and dates of ownership within the last two years. Please upload the stock, purchase or sales agreements and evidence of compliance with all terms and conditions of the stock, purchase or sales agreements.

Does your company have any buy-sell agreements, shareholder agreements, or other ownership arrangements which may impact your unconditional ownership of the company?

- Yes
- No

More Information



If yes, upload copies of the agreements. SBA treats unexercised stock options held by non-disadvantaged individuals as being exercised, which impacts ownership.

Does your company currently have ownership interest in any other companies?

Yes No N/A - Entity Owned

More Information

If yes, attach the following information for each company:

- Name
- Address
- Structure (LLC, Corporation, Partnership, Sole Proprietorship)
- Names of the owners, board members, and officers
- Primary NAICS code
- Nature of relationship
- Percentage of ownership
- Was the firm ever certified as an 8(a) Participant?
- The last five years of filed Federal tax returns, including all schedules and attachments.
- Most recent year-end financial statements
- Year-to-date financial statements

Please upload a Transmutation agreement if you are married and living in a community property State/Territory-(Arizona, California, Idaho, Louisiana, Nevada, New Mexico, Texas, Washington, Wisconsin, Guam, Puerto Rico)

[+ Add documents](#)

More Information

Community property is owned jointly by a married couple. A transmutation agreement allows for your spouse to change the character of their ownership from community property to separate property.

Does any other company or organization have more than 10% ownership interest in your company?

Yes No N/A - Entity Owned

More Information

If yes, attach the following information for each company:

- Name
- Address
- Structure (LLC, Corporation, Partnership, Sole Proprietorship)
- Names of the owners, board members, and officers
- Primary NAICS code
- Nature of relationship
- Percentage of ownership
- Was the company ever certified as an 8(a) Participant?

A non-8(a) company that owns at least 10% of a company currently in the 8(a) program may not also own more than a 10% interest in another company applying to the 8(a) program. This restriction does not apply to financial institutions licensed or chartered by federal, state or local government, including investment companies licensed under the Small Business Investment Act of 1958. Reference: 13 C.F.R. § 124.105(h)(1)

Further, a company not currently in the 8(a) program in the same or similar line of business as the company applying to 8(a) may not own more than a 10% interest in the company applying to 8(a). This restriction does not apply to former 8(a) Participants, which are permitted to own up to 20% of a company applying to 8(a). Reference: 13 C.F.R. § 124.105(h)(2)

Save and continue

Corporations

Ownership

Firm Ownership



Ownership Details



Corporations

LLCs

Partnerships

Sole Proprietors

Review

Corporations

Please upload the following:

- **Articles of Incorporation (original and current version)**
- **Bylaws (current version)**
- **Current Shareholder meeting minutes showing the election of the Board of Directors**
- **Current Board of Director meeting minutes showing the election of Officers**

[+ Add documents](#)

More Information

Bylaws should show that you have complete control of the company. Common issues include supermajority or unanimous consent voting provisions that could impact complete control of the company. Shareholder and Board meetings must be held in accordance with your company's by-laws and meeting minutes must detail the election of the Board of Directors and the Officers.

Please upload the following:

- **Stock Certificates (front and back)**
- **Stock ledger/register**
- **Transmutation agreements (if married and living in a community property State/Territory-Arizona, California, Idaho, Louisiana, Nevada, New Mexico, Texas, Washington, Wisconsin, Guam, Puerto Rico)**
- **Voting agreements**

[+ Add documents](#)

More Information -

Community property is owned jointly by a married couple. A transmutation agreement allows for your spouse to change the character of their ownership from community property to separate property.

Please upload your company's Certificate of Good Standing (dated within one year)

[+ Add documents](#)

More Information -

A **Certificate of Good Standing** is a state-issued document that says your firm is authorized to do business in that state. It is issued by your state's Secretary of State office. Different states use different names for the Certificate of Good Standing. It may also be called a Certificate of Existence or a Certificate of Status.

Save and continue

Character

Is your company a defendant in any pending lawsuits?

Yes No

More Information

If yes, please provide a copy of the current status of the lawsuit and a copy of the complaint, answer and and/or counterclaim filed in the suit.

- A summary of the current status of the lawsuit and claims, including the firm's interest in the suit
- A copy of the complaint, answer, and/or counterclaim filed in the suit

Has your company filed for bankruptcy or insolvency in the last 7 years?

Yes No

More Information

If yes, please provide a copy of the bankruptcy court's final order with all schedules and/or discharge.

Does your company have any outstanding delinquent federal, state, or local financial obligations or liens?

Yes No

More Information

Report any obligations, including delinquent tax returns and delinquent SBA loans. If yes, provide all of the follow that apply:

- Information about any tax limits and unsatisfied judgments
- Evidence of repayment arrangements
- Proof of compliance with repayment arrangements
- Year of tax delinquency and an explanation

If a company or any of its principals fails to pay significant overdue debts to the Federal Government, both the company and the principals are not eligible to participate in the 8(a) Business Development program. Examples of debts are unresolved tax liens and defaults on federal loans or other federally assisted financing.

Has your company (under any name) ever been debarred or suspended by any Federal entity?

Yes No

More Information

If yes, upload the details regarding the debarment or suspension, including any related documents.

Debarred or suspended companies or companies owned by debarred or suspended individuals are not eligible for the 8(a) Business Development Program.

[Continue](#)

Potential for Success

Taxes

Potential for Success

Taxes

Revenue

Potential for Success

Review

Revenue

Potential for Success

Taxes

Revenue

Potential for Success

Review

Taxes

Please upload your company's federal tax returns filed in the last five years, including all schedules, attachments, and proof of payment if a balance was due.

[+ Add documents](#)

More Information

If your company has not been in business for five years, upload all available tax returns.

Continue

Revenue

Are you applying for the 8(a) Program under the same primary NAICS code listed for your company on [SAM.gov](https://www.sam.gov)?

Yes No

More Information

If no, enter your new primary NAICS code in the explanation field. You must also update your primary NAICS code in SAM.gov.

You can find your primary NAICS code in your business profile on [Certify.SBA.gov](https://www.certify.sba.gov) or [SAM.gov](https://www.sam.gov).

In most cases, your company's primary NAICS code must account for the largest portion of sales during the most recently completed fiscal year.

Please upload your company's three most recent year-end and current year-to-date financial statements.

[+ Add documents](#)

More Information

Financial statements must include a balance sheet and a profit and loss statement. The same net income should be included on both the balance sheet and the profit and loss statement. Ensure that equity/retained earnings roll forward correctly from year-to-year. If your company has not been in operation for three years, provide all available financial statements



Please list all contracts awarded to your company in the last 12 months.

Award Date	Customer Name	NAICS Code	Description of Work	Total Contract Value	Annual Revenue	
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	+

More Information

-

If you perform work as a subcontractor, list the prime contractor as the customer.

Provide a copy of your company's local business license, or evidence that a local business license is not required.

[+ Add documents](#)

Continue



Potential for Success

Potential for Success

Taxes



Revenue



Potential for Success

Review

Potential for Success

Does your company have any loans that are not from commercial banks?

Yes No

More Information

If yes, upload copies of loan agreements. This includes agreements with factoring companies, officers, shareholders, or members. These agreements should include the complete terms (loan sources, amounts, purpose of money loaned, name(s) of any persons/companies securing the loan, and the name(s) of those guaranteeing the loan.

Is your company required to have any industry specific licensing?

Yes No

More Information

If yes, upload your company's special licenses required in its industry, such as engineering, accounting, construction, etc. Please include detail showing the individual who qualified your company for the industry specific licensing.

Is your company in the construction industry?

Yes No N/A

More Information

If yes, upload a Statement of Bonding Ability detailing your company's single and aggregate bonding limits.

Is your company's primary NAICS code supported by the Business Activity Codes used on your company's tax returns?

Yes No

More Information

If not, provide an explanation from your tax preparer explaining the conflict. If your company's primary NAICS code in SAM.gov is wrong, please update your SAM profile. Note that the correct code is the one that has generated the most revenues over the past year.

Continue

Control

Firm Control

Control

Firm Control

Leased Facility

Review

Firm Control

Does your company have any agreements that may impact ownership or control? These may include:

- joint venture
- mentor protégé
- indemnity
- consulting
- distributorship
- licensing
- trust
- franchise
- management
- certifications
- permits

Yes No

More Information

If yes, upload the agreements.

Do any other companies or individuals provide financial support to your company?

Yes No

More Information

If yes, provide details of the relationship including nature of assistance and copies of any related agreements. Examples include loans, lines of credit, or any other financial support.

Are you the highest compensated person in your company?

Yes No N/A - Entity Owned

More Information

Please provide evidence of who the highest compensated member of your company is. If it is not you, please provide an explanation why that is in the best interest of your company.

Please upload your company's business bank account signature card(s).

[+ Add documents](#)

More Information

A **signature card** is a document your bank keeps with the signatures of all of the people authorized to access your account. The bank compares future signatures against these signatures to prevent forgeries.

If your bank does not have a signature card for your account, upload a letter from the bank that shows everyone authorized to sign for each of your firm's bank accounts

If there are other individuals included on the signature card, provide an explanation of why they need access to the business bank account. You will also need to add them as a contributor at the end of your application because they are identified as a key employee.

Does your company share any locations or resources with any other companies?

Yes No

More Information

If so, upload the terms of the agreement. Resources may include may include facilities, equipment, employees, telephone, fax, P.O. Box, office space, or warehouse.

Does your company lease or use office space or other facilities from any other company?

Yes No

More Information

If so, upload the terms of the agreement.

[Continue](#)

Leased Facility

Control

Firm Control



Leased Facility

Review

Leased Facility

Do any principals of your company have any financial or familial interest or relationship with the owner of the leased facility?

Yes No

More Information

If yes, provide the name of the other business and the name of the principal who has an interest in or familial relationship with the owner of the leased facility.

Save and continue

Disadvantaged Individual Questionnaire

General Information

Gender

General Information

Gender

Marital Status

Social Security Number

Contact Information

Current Home Address

Length of residency

Previous Home Address

Gender

Gender

Please select

Continue

Marital Status

General Information

Gender

Marital Status

Social Security Number

Contact Information

Current Home Address

Length of residency

Previous Home Address

Date and Place of Birth

US Citizenship

Resume

Upload Resume

Marital Status

Marital Status

- Unmarried
- Married
- Legally Separated

More Information

If you are legally separated upload evidence such as court documents.

Continue

Social Security Number

General Information

Gender

Marital Status

Social Security Number

Contact Information

Current Home Address

Length of residency

Previous Home Address

Social Security Number

Social Security Number

SSN 123-45-6789

Continue



Contact Information

General Information

Gender ✓

Marital Status ✓

Social Security Number ✓

Contact Information

Current Home Address

Length of residency

Previous Home Address

Current Home Address

General Information

Gender ✓

Marital Status ✓

Social Security Number ✓

Contact Information ✓

Current Home Address

Length of residency

Previous Home Address

Date and Place of Birth

US Citizenship

Resume

Upload Resume

Ownership and Control

Full Time Devotion

Business Affiliations

Prior 8a Involvement

Prior 8a Involvement

Federal Employment

Household Federal Employment

Character

Financial

Length of residency

Contact Information

Best contact phone number

Phone 800-888-8888

Continue

Current Home Address

Provide your current home address

Street Address

City

State

Select a state ▼

ZIP

Country

United States ▼

Dates of Residency

mm/dd/yyyy

More Information

Provide the date you started living at this location.

Continue



General Information

- Gender ✓
- Marital Status ✓
- Social Security Number ✓
- Contact Information ✓
- Current Home Address ✓

Length of residency

Have you lived at your present address more than 10 years?

Yes No

[Continue](#)

Date and Place of Birth

General Information

- Gender ✓
- Marital Status ✓
- Social Security Number ✓
- Contact Information ✓
- Current Home Address ✓
- Length of residency ✓
- Previous Home Address

Date and Place of Birth

US Citizenship

Resume

Upload Resume

Ownership and Control

Full Time Devotion

Business Affiliations

Prior SA Involvement

Date and Place of Birth

Date of Birth

Place of Birth

Country of Birth

[Continue](#)

US Citizenship



General Information

- Gender ✓
- Marital Status ✓
- Social Security Number ✓
- Contact Information ✓
- Current Home Address ✓
- Length of residency ✓
- Previous Home Address
- Date and Place of Birth ✓

US Citizenship

Resume

Upload Resume

Ownership and Control

Resume

Upload Resume

- General Information ✓
- Gender ✓
- Marital Status ✓
- Social Security Number ✓
- Contact Information ✓
- Current Home Address ✓
- Length of residency ✓
- Previous Home Address
- Date and Place of Birth ✓
- US Citizenship ✓

Resume

[Upload Resume](#)

Ownership and Control

Full Time Devotion

Business Affiliations

Prior 8a Involvement

Prior 8a Involvement

Federal Employment

US Citizenship

Are you a U.S. Citizen?

Yes No

More Information

In order to participate in the 8(a) Business Development program, any individuals claiming disadvantaged status must be U.S. citizens. Reference: 13 CFR § 124.101

Please upload evidence of U.S. Citizenship. Acceptable evidence includes:

- U.S. Passport
- Certificate of Naturalization
- Consular Report of Birth Abroad of a Citizen of the United States of America (Form FS-240)

[Continue](#)

Upload Resume

Upload your personal resume.

[+ Add documents](#)

More Information

Provide a personal resume with the following:

- Education
- Technical training
- Description of your current duties within your business
- Any other current or past employment experience including:
 - Employer's name
 - Dates of employment
 - Job title
 - Description of duties
- Be sure to include any outside businesses you own

[Continue](#)

Ownership and Control



Full Time Devotion

General Information	✓
Gender	✓
Marital Status	✓
Social Security Number	✓
Contact Information	✓
Current Home Address	✓
Length of residency	✓
Previous Home Address	
Date and Place of Birth	✓
US Citizenship	✓
Resume	✓

Business Affiliations

General Information	✓
Gender	✓
Marital Status	✓
Social Security Number	✓
Contact Information	✓
Current Home Address	✓
Length of residency	✓
Previous Home Address	
Date and Place of Birth	✓
US Citizenship	✓
Resume	✓
Upload Resume	✓
Ownership and Control	

Full Time Devotion

Do you have a job outside your company?

Yes No

More Information

If yes, upload an explanation of how your outside employment does not conflict with your day-to-day management of your company. If employed by another company, have your employer provide evidence of your work schedule on company letterhead.

Continue

Business Affiliations

Do you have ownership or management interest in any other company?

Yes No

More Information

If you have an ownership or management interest in any companies outside of your company, please provide the following:

- Proof of Ownership
- Tax Returns – 5 Years
- Year-end financial statements – 1 year
- Year-to-date financial statements - most recent available

Management interest may include where one or more officers, directors, managing members, or partners who control the board of directors and/or management of one concern also control the board of directors or management of one or more other concerns.

Business Affiliations	
Prior 8a Involvement	
Prior 8a Involvement	
Federal Employment	
Household Federal Employment	
Character	
Financial	
Criminal History	
Criminal History Documentation	
Basis of Disadvantage	
Basis of Disadvantage.	
Native American Documentation	
Other Basis of Disadvantage	
Social Narrative	
Economic Disadvantage	
Transfer Assets	
Financial Data	

Do any of your immediate family members own a company that does business with your company?

Yes No

More Information

If your immediate family members own a company that does business with your company, please provide the following:

- Family member name
- Family member relationship to you
- Family member role in company that does business with your company
- Detail of contractual relationship
- Company name
- Company EUI
- Company 8(a) prior participation status
- Detail of any common ownership or management of your company by the family member

Immediate family members include your father, mother, husband, wife, son, daughter, brother, sister, grandfather, grandmother, grandson, granddaughter, father-in-law, and mother-in-law.

Continue

Prior 8a Involvement

Prior 8a Involvement

General Information	✓
Gender	✓
Marital Status	✓
Social Security Number	✓
Contact Information	✓
Current Home Address	✓
Length of residency	✓
Previous Home Address	
Date and Place of Birth	✓
US Citizenship	✓
Resume	
Upload Resume	✓
Ownership and Control	
	✓

Prior 8a Involvement

Have you, or any company you owned, ever applied for certification in the 8(a) program?

Yes No

More Information

Answer yes if you withdrew an application or had an application formally denied.

Please provide the following:

- Company name
- Company Unique Entity Identifier
- Detail of company ownership
- Company NAICS code approved under
- Any additional relevant information



Business Affiliations	✓
<hr/>	
Prior 8a Involvement	
Prior 8a Involvement	
Federal Employment	
Household Federal Employment	
<hr/>	
Character	
Financial	
Criminal History	
Criminal History Documentation	
<hr/>	
Basis of Disadvantage	
Basis of Disadvantage.	
Native American Documentation	
Other Basis of Disadvantage	
Social Narrative	
<hr/>	
Economic Disadvantage	
Transfer Assets	
Financial Data	
Cash On Hand	
Other Sources Of Income	
Notes Receivable	
Retirement Accounts	

Federal Employment

General Information	✓
Gender	✓
Marital Status	✓
Social Security Number	✓
Contact Information	✓
Current Home Address	✓
Length of residency	✓
Previous Home Address	
Date and Place of Birth	✓
US Citizenship	✓
<hr/>	
Resume	✓

Household Federal Employment



Have you already used your one-time only 8(a) eligibility to qualify a business for the 8(a) Program?

Yes No

More Information

One-time only 8(a) eligibility means any company or individual that has already used their disadvantaged status to participate in the 8(a) Program is not eligible to participate again.

Have any of your immediate family members ever owned a company that was admitted to the 8(a) Program?

Yes No

More Information

If your immediate family members own a company that was admitted to the 8(a) Program, please provide the following

- Company name
- Company primary NAICS code during participation in 8(a) Program
- Company EUI
- Business relationship with your company
- Detail of any common ownership or management of your company by the family member

Immediate family members include your father, mother, husband, wife, son, daughter, brother, sister, grandfather, grandmother, grandson, granddaughter, father-in-law, and mother-in-law

[Continue](#)

Federal Employment

Are you a Federal Government employee?

Yes No

More Information

The Federal Acquisition Regulation [Subpart 3.6](#) places restrictions on Federal Government employees which may prevent your company from acquiring contracts or create conflicts of interest. In addition, there are limitations on SBA's ability to provide assistance to government employees. Reference 13 CFR 105.301.

[Continue](#)

General Information	✓
Gender	✓
Marital Status	✓
Social Security Number	✓
Contact Information	✓
Current Home Address	✓
Length of residency	✓
Previous Home Address	
Date and Place of Birth	✓
US Citizenship	✓

Household Federal Employment

Is any member of your household a Federal Government employee?

Yes No

More Information

If yes, please provide a letter of no objection from the federal agency employing the family member. Reference: 13 C.F.R. § 105.301

[Continue](#)

Character

Financial

General Information	✓
Gender	✓
Marital Status	✓
Social Security Number	✓
Contact Information	✓
Current Home Address	✓
Length of residency	✓
Previous Home Address	
Date and Place of Birth	✓
US Citizenship	✓
Resume	✓
Upload Resume	✓
Ownership and Control	✓
Full Time Devotion	✓
Business Affiliations	✓
Prior 8a Involvement	✓
Prior 8a Involvement	✓
Federal Employment	✓
Household Federal Employment	✓
Character	
Financial	
Criminal History	
Criminal History Documentation	

Basis of Disadvantage

Financial

Have you filed for personal bankruptcy in the last 7 years?

Yes No

More Information

If yes, please provide an explanation and attach a copy of the bankruptcy court's final order or discharge.

Have you previously obtained an SBA loan?

Yes No

More Information

If yes, please provide the following borrower details:

- Name of borrower
- Date approved (or estimated date)
- Current status of loan

Are you a party to a pending civil lawsuit?

Yes No

More Information

If yes, please provide the following details about the lawsuit:

- Your interest in the suit
- Summary of the claims
- Current status of the suit



Basis of Disadvantage.
Native American Documentation
Other Basis of Disadvantage
Social Narrative

Economic Disadvantage

Transfer Assets
Financial Data
Cash On Hand
Other Sources Of Income
Notes Receivable
Retirement Accounts
Life Insurance
Stocks & Bonds
Real Estate - Primary Residence
Real Estate - Other
Personal Property
Notes Payable and Other Liabilities

Criminal History

General Information	✓
Gender	✓
Marital Status	✓
Social Security Number	✓
Contact Information	✓
Current Home Address	✓
Length of residency	✓
Previous Home Address	
Date and Place of Birth	✓
US Citizenship	✓
Resume	✓
Upload Resume	✓
Ownership and Control	✓
Full Time Devotion	✓
Business Affiliations	✓

Are you delinquent in paying or filing any of the following:

- **Federal or Federally guaranteed obligations (including Federal student loans)**
- **Business taxes or liens**
- **Personal Federal, State, or local tax returns**

Yes No

More Information

If yes, please provide the following details and upload supporting documentation for EACH delinquency:

- Explanation of the delinquency
- Type of delinquency
- Status of delinquency (e.g., dismissed, satisfied)
- Details of the repayment arrangement
- Compliance with repayment of taxes
- Deferred payment status for student loans

[Continue](#)

Criminal History

Have you ever gone by any other names?

Yes No

More Information

If yes, provide detail of your other names

Are you presently subject to an indictment, criminal information, arraignment, or other means by which formal criminal charges are brought in any jurisdiction?

Yes No

Have you been arrested in the past six months for any criminal offense?

Yes No

Prior 8a Involvement	✓
Prior 8a Involvement	✓
Federal Employment	✓
Household Federal Employment	✓
<hr/>	
Character	
Financial	✓
Criminal History	
Criminal History Documentation	
<hr/>	
Basis of Disadvantage	
Basis of Disadvantage.	
Native American Documentation	
Other Basis of Disadvantage	
Social Narrative	
<hr/>	
Economic Disadvantage	

For any criminal offense, including expunged records, have you ever:

- **Been convicted**
- **Plead guilty**
- **Plead nolo contendere**
- **Been placed on pretrial diversion**
- **Been placed on any form of parole or probation (including probation before judgement)**

Yes No

More Information -

Do not include minor motor vehicle violations such as speeding and equipment violations.

[Continue](#)

Criminal History Documentation

General Information	✓
Gender	✓
Marital Status	✓
Social Security Number	✓
Contact Information	✓
Current Home Address	✓
Length of residency	✓
Previous Home Address	
Date and Place of Birth	✓
US Citizenship	✓
<hr/>	
Resume	✓
Upload Resume	✓
<hr/>	
Ownership and Control	✓
Full Time Devotion	✓
Business Affiliations	✓
<hr/>	
Prior 8a Involvement	✓
Prior 8a Involvement	✓
Federal Employment	✓
Household Federal Employment	✓
<hr/>	
Character	

Criminal History Documentation

Upload a narrative for each arrest, conviction, or incident involving formal criminal charges brought against you.

[+ Add documents](#)

More Information -

Narratives should include the following details for the arrest, conviction, or charges against you:

- Date
- Location
- Fine
- Sentence
- Whether offense was a Misdemeanor or Felony
- Dates of parole or probation
- List any unpaid fines or penalties
- Name you were charged under
- Any other pertinent information

Upload copies of all relevant court dispositions or documents.

[+ Add documents](#)



- Financial ✓
- Criminal History ✓

Criminal History Documentation

Basis of Disadvantage

Continue

Basis of Disadvantage

Basis of Disadvantage

- General Information ✓
- Gender ✓
- Marital Status ✓
- Social Security Number ✓
- Contact Information ✓
- Current Home Address ✓
- Length of residency ✓
- Previous Home Address
- Date and Place of Birth ✓
- US Citizenship ✓
- Resume ✓
- Upload Resume ✓
- Ownership and Control ✓
- Full Time Devotion ✓
- Business Affiliations ✓
- Prior 8a Involvement ✓
- Prior 8a Involvement ✓
- Federal Employment ✓
- Household Federal Employment ✓
- Character ✓
- Financial ✓
- Criminal History ✓

Basis of Disadvantage.

Select one of the following “presumed disadvantaged groups” as the basis of your social disadvantage.

Please select ▼

More Information

Socially disadvantaged individuals are those who have been subjected to racial or ethnic prejudice or cultural bias within American society because of their identities as members of groups and without regard to their individual qualities. The following individuals are presumed socially disadvantaged:

- Black Americans
- Hispanic Americans (South America, Central America, Mexico, Cuba, the Dominican Republic, Puerto Rico, Spain, and Portugal)
- Native Americans (Alaskan Natives, Native Hawaiian, enrolled member of federally or state recognized Indian Tribe)
- Asian Pacific Americans (Burma, Thailand, Malaysia, Indonesia, Singapore, Brunei, Japan, China, Hong Kong, Taiwan, Laos, Cambodia, Kampuchea, Vietnam, Korea, The Philippines, U.S. Trust Territory of the Pacific Islands, Republic of Palau, Republic of the Marshall Islands, Federated States of Micronesia, the Commonwealth of the Northern Mariana Islands, Guam, Samoa, Macao, Fiji, Tonga, Kiribati, Tuvalu, Nauru)
- Subcontinent Asian Americans (India, Pakistan, Bangladesh, Sri Lanka, Bhutan, the Maldives Islands, Nepal)

NOTE: Being born in a country does not, by itself, suffice to make the birth country an individual's country of origin for purposes of being included within a designated group. An individual who is not a member of one of these groups must establish individual social disadvantage by a preponderance of the evidence. 13 C.F.R. § 124.103(c).

Continue

Native American Documentation



General Information	✓
Gender	✓
Marital Status	✓
Social Security Number	✓
Contact Information	✓
Current Home Address	✓
Length of residency	✓
Previous Home Address	
Date and Place of Birth	✓
US Citizenship	✓
Resume	✓
Upload Resume	✓
Ownership and Control	✓
Full Time Devotion	✓
Business Affiliations	✓

Native American Documentation

Please provide documentation supporting your membership in the “Native American” group.

- N/A - Entity Owned
 Individual

More Information

If you are an Alaskan Native or Native Hawaiian, please upload your birth certificate.

If you are an enrolled member of a federal or state recognized Indian tribe, please upload your tribal card.

If you are claiming as a Native American and are not currently an enrolled member of a federally or state recognized tribe then you must make a claim based upon a preponderance of the evidence. Go back to the previous question and select “None of the Above”.

Continue

Other Basis of Disadvantage

General Information	✓
Gender	✓
Marital Status	✓
Social Security Number	✓
Contact Information	✓
Current Home Address	✓
Length of residency	✓
Previous Home Address	
Date and Place of Birth	✓
US Citizenship	✓
Resume	✓
Upload Resume	✓
Ownership and Control	✓
Full Time Devotion	✓
Business Affiliations	✓
Prior 8a Involvement	✓
Prior 8a Involvement	✓
Federal Employment	✓

Other Basis of Disadvantage

Select one of the following “objective distinguishing features” as the basis of your social disadvantage and add details in the comment field.

Please select

Detail

More Information

Please identify the specific feature in the comment field. For example, if you selected "Physical Handicap" you might write "Visually Impaired" in the comment field.

Continue

Social Narrative



General Information	✓
Gender	✓
Marital Status	✓
Social Security Number	✓
Contact Information	✓
Current Home Address	✓
Length of residency	✓
Previous Home Address	
Date and Place of Birth	✓
US Citizenship	✓
Resume	✓
Upload Resume	✓
Ownership and Control	✓
Full Time Devotion	✓
Business Affiliations	✓
Prior 8a Involvement	✓
Prior 8a Involvement	✓
Federal Employment	✓
Household Federal Employment	✓
Character	✓
Financial	✓
Criminal History	✓
Criminal History Documentation	✓
Basis of Disadvantage	
Basis of Disadvantage.	✓
Native American Documentation	
Other Basis of Disadvantage	✓
Social Narrative	

Social Narrative

Please attach a narrative statement providing specific claims, incidents of bias, or discriminatory conduct directed towards you.

[+ Add documents](#)

More Information

Please provide the following details in your narrative:

- When and where each incident occurred
- Who committed the act
- How the incident took place
- How the incident negatively impacted your entry into or advancement in the business world

Other guidance for narrative:

- Narrative should be three pages or less.
- Your disadvantage must be rooted in treatment you received in American society (not another country) and must be chronic and substantial.
- The disadvantage must have negatively impacted your entry or advancement in the business world.
- Experiences should be related to education, employment and business history (including current or previously owned companies).
 - Education - SBA considers such factors as denial of equal access to institutions of higher education, exclusion from social and professional association with students or teachers, denial of educational honors rightfully earned, and social patterns or pressures which discouraged the individual from pursuing a professional or business education.
 - Employment - SBA considers such factors as unequal treatment in hiring, promotions and other aspects of professional advancement, pay and fringe benefits, and other terms and conditions of employment; retaliatory or discriminatory behavior by an employer; and social patterns or pressures which have channeled the individual into nonprofessional or non-business fields.
 - Business history - SBA considers such factors as unequal access to credit or capital, acquisition of credit or capital under commercially unfavorable circumstances, unequal treatment in opportunities for government contracts or other work, unequal treatment by potential customers and business associates, and exclusion from business or professional organizations.

[Continue](#)

Economic Disadvantage

Transfer Assets



General Information	✓
Gender	✓
Marital Status	✓
Social Security Number	✓
Contact Information	✓
Current Home Address	✓
Length of residency	✓
Previous Home Address	✓
Date and Place of Birth	✓
US Citizenship	✓
Resume	✓
Upload Resume	✓
Ownership and Control	✓
Full Time Devotion	✓
Business Affiliations	✓
Prior 8a Involvement	✓
Prior 8a Involvement	✓
Federal Employment	✓

Financial Data

General Information	✓
Gender	✓
Marital Status	✓
Social Security Number	✓
Contact Information	✓
Current Home Address	✓
Length of residency	✓
Previous Home Address	✓
Date and Place of Birth	✓
US Citizenship	✓
Resume	✓
Upload Resume	✓
Ownership and Control	✓
Full Time Devotion	✓
Business Affiliations	✓

Cash on Hand



Transfer Assets

Have you transferred any assets to any immediate family member for less than fair market value in the last two years?

Yes No

More Information

If yes, please provide the following details about the transfer.

- Nature and value of asset
- Details of the transfer (including sale price)
- Recipient name
- Relationship to recipient

Fair market value is the price that property would sell for on the open market. It is the price that would be agreed upon between a willing buyer and a willing seller, with neither being required to act and both having reasonable knowledge of the relevant facts.

Immediate family members include your father, mother, husband, wife, son, daughter, brother, sister, grandfather, grandmother, grandson, granddaughter, father-in-law, and mother-in-law. Reference: CFR § 124.3

Continue

Tax Returns

1. Upload your Individual Income Tax Returns for the last three completed tax years. Include all Schedules and attachments.
2. Upload your Wage and Tax Statements (W-2s) for the last three years. If you filed your tax return jointly with a spouse, upload your spouse's W-2s for the last three years, as well.
3. If you owed taxes, upload evidence of payment. (This may be a bank statement or [IRS Tax Account Transcript](#))

N/A - Entity Owned
 Individual

More Information

Please provide all W-2 forms, schedules, forms, statements, and 1099s accompanying the tax returns. If you file jointly with the individual claiming disadvantage, do not upload duplicate documents. You may still need to upload your individual W-2.

Continue

- General Information ✓
- Gender ✓
- Marital Status ✓
- Social Security Number ✓
- Contact Information ✓
- Current Home Address ✓
- Length of residency ✓
- Previous Home Address ✓
- Date and Place of Birth ✓
- US Citizenship ✓

- Resume ✓
- Upload Resume ✓

- Ownership and Control ✓
- Full Time Devotion ✓
- Business Affiliations ✓

- Prior 8a Involvement ✓
- Prior 8a Involvement ✓
- Federal Employment ✓
- Household Federal Employment ✓

- Character ✓
- Financial ✓
- Criminal History ✓
- Criminal History Documentation ✓

- Basis of Disadvantage ✓
- Basis of Disadvantage. ✓
- Native American Documentation ✓
- Other Basis of Disadvantage ✓
- Social Narrative ✓

- Economic Disadvantage

Cash On Hand

As of Date:

More Information

Use the date of the information provided (i.e. the last date of the previous month). The information must be no older than 30 days old.

Cash on Hand

More Information

The sum of all coins and currency that are not on deposit with a financial institution.

Savings Account(s) Balance

Checking Account(s) Balance

Please answer this question

More Information

Include funds held domestically and in foreign financial institutions. Include funds held in certificates of deposit and money market accounts as part of the Savings Account(s) Balance.

[Continue](#)

Other Sources of Income



General Information	✓
Gender	✓
Marital Status	✓
Social Security Number	✓
Contact Information	✓
Current Home Address	✓
Length of residency	✓
Previous Home Address	
Date and Place of Birth	✓
US Citizenship	✓
Resume	✓
Upload Resume	✓
Ownership and Control	✓
Full Time Devotion	✓
Business Affiliations	✓
Prior 8a Involvement	✓
Prior 8a Involvement	✓
Federal Employment	✓
Household Federal Employment	✓
Character	✓
Financial	✓
Criminal History	✓
Criminal History Documentation	✓
Basis of Disadvantage	✓
Basis of Disadvantage.	✓
Native American Documentation	
Other Basis of Disadvantage	✓
Social Narrative	✓
Economic Disadvantage	
Transfer Assets	✓
Financial Data	
Cash On Hand	✓
Other Sources Of Income	
Notes Receivable	
Retirement Accounts	
Life Insurance	

Other Sources Of Income

Salary

\$

More Information

Include yearly salary from applicant company or other salaried positions.

Other Income

\$

More Information

Include income from any other sources not identified elsewhere, such as retirement/pension or disability income. Do not include investment or real estate income, which are detailed in another section. Alimony or child support payments should not be disclosed in "Other Income" unless it is desired to have such payments counted toward total income. If you have other income, please describe the source in the comment box.

Equity in your company

\$

More Information

This is the value of your equity interest in your company. Use your company's current balance sheet to determine your equity interest. The value of your equity interest equals the company's net worth multiplied by your ownership percentage.

Your Equity in Other companies

\$

More Information

This is the value of the individual's equity interest in companies other than your business. Use the companies' current balance sheets to determine the value of the individual's equity interests. The value of individual's equity interest equals the company's net worth multiplied by the individual's ownership percentage. The amount on this line should be the sum of the individual's equity interests in all companies other than your business.

[Continue](#)

Notes Receivable



General Information	✓
Gender	✓
Marital Status	✓
Social Security Number	✓
Contact Information	✓
Current Home Address	✓
Length of residency	✓
Previous Home Address	
Date and Place of Birth	✓
US Citizenship	✓

Retirement Accounts

General Information	✓
Gender	✓
Marital Status	✓
Social Security Number	✓
Contact Information	✓
Current Home Address	✓
Length of residency	✓
Previous Home Address	
Date and Place of Birth	✓
US Citizenship	✓
Resume	✓
Upload Resume	✓
Ownership and Control	✓
Full Time Devotion	✓
Business Affiliations	✓
Prior 8a Involvement	✓
Prior 8a Involvement	✓
Federal Employment	✓
Household Federal Employment	✓

Life Insurance

Notes Receivable

Do you have any notes receivable from others?

Yes No

More Information

Include shareholder/officer/member/partner loans from individual to applicant company, as well as any loans given to other individuals or companies.

Continue

Retirement Accounts

Do you have a Roth IRA?

Yes No

More Information

If yes, upload information on the terms and restrictions of the account. Supplying the most recent account statement from your IRA provider will suffice in most cases. SBA will not include the funds in calculating your net worth if the statement indicates that the funds are not available until retirement age without a significant penalty.

Do you have any other retirement accounts?

Yes No

More Information

If yes, upload information on the terms and restrictions of the account. Supplying the most recent account statement from your IRA provider will suffice in most cases. SBA will not include the funds in calculating your net worth if the statement indicates that the funds are not available until retirement age without a significant penalty.

Continue

General Information	✓
Gender	✓
Marital Status	✓
Social Security Number	✓
Contact Information	✓
Current Home Address	✓
Length of residency	✓
Previous Home Address	
Date and Place of Birth	✓
US Citizenship	✓
<hr/>	
Resume	✓
Upload Resume	✓
<hr/>	
Ownership and Control	✓

Life Insurance

Do you have a life insurance policy that has a cash surrender value?

Yes No

More Information

The cash surrender value is the total received if a life insurance policy is cancelled. This does not apply to term life insurance policies.

Do you have any loans against a life insurance policy?

Yes No

Continue

Stocks & Bonds

General Information	✓
Gender	✓
Marital Status	✓
Social Security Number	✓
Contact Information	✓
Current Home Address	✓
Length of residency	✓
Previous Home Address	
Date and Place of Birth	✓
US Citizenship	✓

Stocks & Bonds

Do you have any stocks, bonds or mutual funds?

Yes No

More Information

Total value equals the Market Value Quotation multiplied by the number of shares.

Continue

Real Estate – Primary Residence

General Information	✓
Gender	✓
Marital Status	✓
Social Security Number	✓
Contact Information	✓
Current Home Address	✓
Length of residency	✓

Real Estate - Primary Residence

Do you own your primary residence?

Yes No

Continue

Current Home Address	✓
Length of residency	✓
Previous Home Address	
Date and Place of Birth	✓
US Citizenship	✓
<hr/>	
Resume	✓
Upload Resume	✓
<hr/>	
Ownership and Control	✓
Full Time Devotion	✓
Business Affiliations	✓
<hr/>	
Prior 8a Involvement	✓
Prior 8a Involvement	✓
Federal Employment	✓
Household Federal Employment	✓
<hr/>	
Character	✓
Financial	✓
Criminal History	✓
Criminal History Documentation	✓
<hr/>	
Basis of Disadvantage	✓
Basis of Disadvantage.	✓
Native American Documentation	
Other Basis of Disadvantage	✓
Social Narrative	✓
<hr/>	
Economic Disadvantage	
Transfer Assets	✓
Financial Data	
Cash On Hand	✓
Other Sources Of Income	✓
Notes Receivable	✓
Retirement Accounts	✓
Life Insurance	✓
Stocks & Bonds	✓
<hr/>	
Real Estate - Primary Residence	

Primary Residence Details

What is the address of your primary residence?

This field is required.

Is your primary residence jointly owned?

Yes No This field is required.

Is your name on the mortgage?

Yes No This field is required.

What is the current value of your primary residence?

\$

This field is required.

More Information



What is the mortgage balance on your primary residence?

\$

This field is required.

More Information



Is there a lien, 2nd mortgage or Home Equity Line of Credit on your primary residence?

Yes No This field is required.

Do you receive income from your primary residence (rent, etc.)?

Yes No This field is required.

Continue

Real Estate – Other



- General Information ✓
- Gender ✓
- Marital Status ✓
- Social Security Number ✓
- Contact Information ✓
- Current Home Address ✓
- Length of residency ✓
- Previous Home Address
- Date and Place of Birth ✓
- US Citizenship ✓
- Resume ✓
- Upload Resume ✓

Real Estate - Other

Do you own any additional real estate?

Yes No

List your other real estate holdings:

You may add and remove real estate holdings below

Add real estate

Continue

Personal Property

- General Information ✓
- Gender ✓
- Marital Status ✓
- Social Security Number ✓
- Contact Information ✓
- Current Home Address ✓
- Length of residency ✓
- Previous Home Address
- Date and Place of Birth ✓
- US Citizenship ✓
- US Citizenship ✓
- Resume ✓
- Household Federal Employment ✓
- Character ✓
- Financial ✓
- Criminal History ✓
- Criminal History Documentation ✓
- Basis of Disadvantage ✓
- Basis of Disadvantage. ✓
- Native American Documentation
- Other Basis of Disadvantage ✓
- Social Narrative ✓
- Economic Disadvantage
- Transfer Assets ✓
- Financial Data

Personal Property

Do you own any vehicles?

Yes No

New Edit Delete

Current Value ▲	Loan Balance ▼	Description of Asset ▼
No data available in table		
\$0.00	\$0.00	

Do you own any other personal property or assets?

Yes No

New Edit Delete

Current Value ▲	Loan Balance ▼	Description of Asset ▼
No data available in table		
\$0.00	\$0.00	

More Information

Include all household goods, jewelry, art, boats, antiques, etc with a value over \$2,500. (For example, enter a line for household furnishing with the total value of all furniture.) Include any assets held by a revocable trust for which you are the grantor and beneficiary. If the property is jointly owned, include only the individual's share of the property.

Continue



Notes Payable and Other Liabilities

- General Information ✓
- Gender ✓
- Marital Status ✓
- Social Security Number ✓
- Contact Information ✓
- Current Home Address ✓
- Length of residency ✓
- Previous Home Address ✓
- Date and Place of Birth ✓
- US Citizenship ✓

- Resume ✓
- Upload Resume ✓

- Ownership and Control ✓
- Full Time Devotion ✓
- Business Affiliations ✓

- Prior 8a Involvement ✓
- Prior 8a Involvement ✓
- Federal Employment ✓
- Household Federal Employment ✓

- Character ✓

Notes Payable and Other Liabilities

Do you have any notes payable or other liabilities?

Yes No

[New](#) [Edit](#) [Delete](#)

Type ▲	Original Balance ↕	Current Balance ↕	Payment Amount ↕	How Secured or Endorsed Type of Collateral ↕	Name of Note Holder ↕	Address of Noteholder ↕
No data available in table						
Total:		\$0.00				

More Information -

List any notes payable including credit cards and personal lines of credit. Include loans owed to your business, other companies, and individuals. Exclude mortgage and vehicle loans, etc. if listed in previous sections. If you have additional liens or debts against your vehicles or personal property, list them. List all other liabilities, including accounts payable. Do not include contingent liabilities.

[Continue](#)

Assessed Taxes

- General Information ✓
- Gender ✓
- Marital Status ✓
- Social Security Number ✓

Assessed Taxes

Do you have any Assessed Taxes that were unpaid?

Yes No

- Contact Information ✓
- Current Home Address ✓
- Length of residency ✓
- Previous Home Address
- Date and Place of Birth ✓
- US Citizenship ✓

- Resume ✓
- Upload Resume ✓

- Ownership and Control ✓
- Full Time Devotion ✓
- Business Affiliations ✓

- Prior 8a Involvement ✓
- Prior 8a Involvement ✓
- Federal Employment ✓

[New](#) [Edit](#) [Delete](#)

Whom Payable ▲	Amount ⇅	When Due ⇅	Property (if any) a tax lien attaches ⇅
No data available in table			
Total:	\$0.00		

More Information -

Include only assessed taxes that are unpaid. This includes past due personal Federal, state, county, and city taxes. Do not include estimated taxes or business taxes. If this is a joint debt, include only the individual's share of the debt.

[Continue](#)

